

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OFM

**MUTUAL SECURITIES INC
P.O. BOX 2864
CAMARILLO, CA 93011**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	89.37%
Limit orders as percentage of total limit orders	5.68%
Other orders as percentage of total other orders	4.95%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	38.19%
2. VIRTU Americas LLC	28.18%
3. G1 Execution Services, LLC	11.08%
4. National Financial Services LLC	8.32%
5. Two Sigma Securities, LLC	5.01%
6. Cboe BYX U.S. Equities Exchange	2.47%
7. Cboe EDGX U.S. Equities Exchange	1.86%
8. NASDAQ Execution Services, LLC	1.41%
9. New York Stock Exchange	0.79%
10. UBS Securities, LLC	0.79%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.91%
Limit orders as percentage of total limit orders	11.88%
Other orders as percentage of total other orders	19.32%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.51%
Limit orders as percentage of total limit orders	10.89%
Other orders as percentage of total other orders	42.05%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.96%
Limit orders as percentage of total limit orders	5.94%
Other orders as percentage of total other orders	1.14%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.81%
Limit orders as percentage of total limit orders	0.99%
Other orders as percentage of total other orders	7.95%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.35%
Limit orders as percentage of total limit orders	1.98%
Other orders as percentage of total other orders	2.27%

6. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.64%
Limit orders as percentage of total limit orders	1.98%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	32.67%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	17.82%
Other orders as percentage of total other orders	7.95%

9. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.86%
Other orders as percentage of total other orders	0.00%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.76%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	2.27%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	79.75%
Limit orders as percentage of total limit orders	6.90%
Other orders as percentage of total other orders	13.35%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	36.07%
2. VIRTU Americas LLC	29.89%
3. G1 Execution Services, LLC	11.05%
4. National Financial Services LLC	7.07%
5. Two Sigma Securities, LLC	3.36%
6. Investors Exchange	2.30%
7. NASDAQ Execution Services, LLC	2.03%
8. Cboe EDGX U.S. Equities Exchange	1.86%
9. Morgan Stanley & Co. Incorporated	1.33%
10. UBS Securities, LLC	1.15%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	39.25%
Limit orders as percentage of total limit orders	15.38%
Other orders as percentage of total other orders	27.81%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.48%
Limit orders as percentage of total limit orders	29.49%
Other orders as percentage of total other orders	14.57%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.41%
Limit orders as percentage of total limit orders	5.13%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.20%
Limit orders as percentage of total limit orders	1.28%
Other orders as percentage of total other orders	3.31%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.10%
Limit orders as percentage of total limit orders	1.28%
Other orders as percentage of total other orders	0.00%

6. Investors Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	17.22%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	21.79%
Other orders as percentage of total other orders	3.97%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	24.36%
Other orders as percentage of total other orders	1.32%

9. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	9.93%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.44%
Limit orders as percentage of total limit orders	1.28%
Other orders as percentage of total other orders	5.30%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	86.34%
Limit orders as percentage of total limit orders	7.87%
Other orders as percentage of total other orders	5.80%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	42.44%
2. VIRTU Americas LLC	30.64%
3. G1 Execution Services, LLC	9.94%
4. Two Sigma Securities, LLC	5.80%
5. Cboe BYX U.S. Equities Exchange	4.35%
6. NASDAQ Execution Services, LLC	2.28%
7. NYSE American	1.04%
8. National Financial Services LLC	1.04%
9. NYSE Arca	0.62%
10. Cboe EDGX U.S. Equities Exchange	0.62%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	44.84%
Limit orders as percentage of total limit orders	26.32%
Other orders as percentage of total other orders	28.57%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.94%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	60.71%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.79%
Limit orders as percentage of total limit orders	2.63%
Other orders as percentage of total other orders	7.14%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.24%
Limit orders as percentage of total limit orders	5.26%
Other orders as percentage of total other orders	0.00%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.04%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	28.95%
Other orders as percentage of total other orders	0.00%

7. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.16%
Other orders as percentage of total other orders	0.00%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.89%
Other orders as percentage of total other orders	0.00%

10. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.89%
Other orders as percentage of total other orders	0.00%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	41.08%
Limit orders as percentage of total limit orders	43.85%
Other orders as percentage of total other orders	15.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe BZX Options Exchange, Inc.	24.76%
2. Cboe Options Exchange	22.13%
3. NASDAQ PHLX Options	15.77%
4. NYSE American Options	13.00%
5. Cboe EDGX Option Exchange	8.58%
6. NYSE Arca Options	3.87%
7. NASDAQ Options Market	2.63%
8. ISE Gemini Options Exchange	2.35%
9. NASDAQ BX Options	1.94%
10. Cboe C2 Options Exchange	1.66%

Information Concerning Significant Venues :

1. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.36%
Limit orders as percentage of total limit orders	54.26%
Other orders as percentage of total other orders	0.00%

2. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.46%
Limit orders as percentage of total limit orders	4.73%
Other orders as percentage of total other orders	99.08%

3. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.61%
Limit orders as percentage of total limit orders	9.78%
Other orders as percentage of total other orders	0.92%

4. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.85%
Limit orders as percentage of total limit orders	12.93%
Other orders as percentage of total other orders	0.00%

Mutual Securities, Inc. may receive remuneration in the form of payments for directing orders to designated broker/dealers or market centers for execution. The amount of payment may range from \$0.00 - \$0.35 per option contract.

5. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.86%
Limit orders as percentage of total limit orders	1.89%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.36%
Limit orders as percentage of total limit orders	6.62%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.68%
Limit orders as percentage of total limit orders	4.42%
Other orders as percentage of total other orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.38%
Limit orders as percentage of total limit orders	1.26%
Other orders as percentage of total other orders	0.00%

9. NASDAQ BX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.04%
Limit orders as percentage of total limit orders	0.63%
Other orders as percentage of total other orders	0.00%

10. Cboe C2 Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.03%
Limit orders as percentage of total limit orders	0.95%
Other orders as percentage of total other orders	0.00%

Mutual Securities, Inc. may receive remuneration in the form of payments for directing orders to designated broker/dealers or market centers for execution. The amount of payment may range from \$0.00 - \$0.35 per option contract.