

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OFM

**MUTUAL SECURITIES INC
P.O. BOX 2864
CAMARILLO, CA 93011**

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2018

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	87.62%
Limit orders as percentage of total limit orders	7.79%
Other orders as percentage of total other orders	4.59%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	44.20%
2. G1 Execution Services, LLC	17.81%
3. VIRTU Americas LLC	16.19%
4. National Financial Services LLC	8.07%
5. Two Sigma Securities, LLC	5.19%
6. New York Stock Exchange	2.37%
7. Cboe EDGX U.S. Equities Exchange	2.09%
8. NASDAQ Execution Services, LLC	1.30%
9. UBS Securities, LLC	1.02%
10. Credit Suisse Securities (USA) LLC	0.51%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	48.39%
Limit orders as percentage of total limit orders	11.90%
Other orders as percentage of total other orders	19.19%

2. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.85%
Limit orders as percentage of total limit orders	2.38%
Other orders as percentage of total other orders	5.05%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.09%
Limit orders as percentage of total limit orders	8.33%
Other orders as percentage of total other orders	31.31%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.73%
Limit orders as percentage of total limit orders	1.79%
Other orders as percentage of total other orders	6.06%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.88%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	1.01%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	29.76%
Other orders as percentage of total other orders	1.01%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	26.79%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.67%
Other orders as percentage of total other orders	0.00%

9. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.90%
Limit orders as percentage of total limit orders	0.60%
Other orders as percentage of total other orders	4.04%

10. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	11.11%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	82.87%
Limit orders as percentage of total limit orders	7.49%
Other orders as percentage of total other orders	9.64%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	49.10%
2. VIRTU Americas LLC	17.48%
3. G1 Execution Services, LLC	12.41%
4. Two Sigma Securities, LLC	5.41%
5. National Financial Services LLC	4.85%
6. NASDAQ Execution Services, LLC	3.74%
7. Cboe EDGX U.S. Equities Exchange	2.22%
8. Investors Exchange	1.39%
9. Credit Suisse Securities (USA) LLC	0.90%
10. UBS Securities, LLC	0.83%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	54.73%
Limit orders as percentage of total limit orders	12.04%
Other orders as percentage of total other orders	29.50%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.49%
Limit orders as percentage of total limit orders	11.11%
Other orders as percentage of total other orders	22.30%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.81%
Limit orders as percentage of total limit orders	1.85%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.28%
Limit orders as percentage of total limit orders	2.78%
Other orders as percentage of total other orders	0.00%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.69%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	1.44%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	42.59%
Other orders as percentage of total other orders	5.76%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	27.78%
Other orders as percentage of total other orders	1.44%

8. Investors Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	14.39%

9. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	9.35%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.59%
Limit orders as percentage of total limit orders	0.93%
Other orders as percentage of total other orders	2.88%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	88.16%
Limit orders as percentage of total limit orders	7.07%
Other orders as percentage of total other orders	4.77%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	51.32%
2. VIRTU Americas LLC	18.75%
3. G1 Execution Services, LLC	15.63%
4. Two Sigma Securities, LLC	5.59%
5. UBS Securities, LLC	2.30%
6. Cboe EDGX U.S. Equities Exchange	1.32%
7. NASDAQ Execution Services, LLC	1.15%
8. Cantor Fitzgerald & Co.	0.99%
9. NYSE American	0.82%
10. NYSE Arca	0.66%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	54.29%
Limit orders as percentage of total limit orders	30.23%
Other orders as percentage of total other orders	27.59%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.72%
Limit orders as percentage of total limit orders	6.98%
Other orders as percentage of total other orders	55.17%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.16%
Limit orders as percentage of total limit orders	4.65%
Other orders as percentage of total other orders	3.45%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.16%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.45%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.43%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.45%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.60%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.28%
Other orders as percentage of total other orders	0.00%

8. Cantor Fitzgerald & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.12%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.63%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.30%
Other orders as percentage of total other orders	0.00%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	46.12%
Limit orders as percentage of total limit orders	37.25%
Other orders as percentage of total other orders	16.62%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	24.30%
2. Cboe BZX Options Exchange, Inc.	22.25%
3. NASDAQ PHLX Options	19.86%
4. NYSE American Options	16.45%
5. NYSE Arca Options	4.09%
6. NASDAQ Options Market	2.64%
7. Cboe EDGX Option Exchange	2.56%
8. The MIAX Options Exchange	1.62%
9. NASDAQ BX Options	1.53%
10. ISE Gemini Options Exchange	1.45%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.08%
Limit orders as percentage of total limit orders	2.52%
Other orders as percentage of total other orders	95.90%

2. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.85%
Limit orders as percentage of total limit orders	57.44%
Other orders as percentage of total other orders	0.00%

3. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.30%
Limit orders as percentage of total limit orders	9.61%
Other orders as percentage of total other orders	0.00%

4. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.29%
Limit orders as percentage of total limit orders	13.73%
Other orders as percentage of total other orders	3.59%

Mutual Securities, Inc. may receive remuneration in the form of payments for directing orders to designated broker/dealers or market centers for execution. The amount of payment may range from \$0.00 - \$0.35 per option contract.

5. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.66%
Limit orders as percentage of total limit orders	8.70%
Other orders as percentage of total other orders	0.51%

6. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.22%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.55%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.33%
Limit orders as percentage of total limit orders	0.23%
Other orders as percentage of total other orders	0.00%

9. NASDAQ BX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.77%
Limit orders as percentage of total limit orders	0.69%
Other orders as percentage of total other orders	0.00%

10. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.77%
Limit orders as percentage of total limit orders	0.46%
Other orders as percentage of total other orders	0.00%

Mutual Securities, Inc. may receive remuneration in the form of payments for directing orders to designated broker/dealers or market centers for execution. The amount of payment may range from \$0.00 - \$0.35 per option contract.